Child and Adolescent Level of Care Utilization System (CALOCUS) Functional Rating Scales 8/03					
Child Name:         Date Assessed:         /         /         Services Start Date:         /         /           Child ID:         Case #:         MaineCare#:         D.O.B.:         /         /         Gender:         M         F           Child's Residence County:         BDS Region:         I         II         III         III         III         Rater ID#:         Agency/Program Name:         III         III <t< td=""></t<>					
CALOCUS Administr	ation:   Baseline   Enti	ry into Service ☐ Annual	☐ Exit ☐ Other		
		ber below that best represents t	he child's or adolescent's cu	rrent potential to be harmed	
Low Risk of Harm	Some Risk of Harm	Significant Risk of Harm	Serious Risk of Harm	Extreme Risk of Harm	
(1)	(2)	(3)	(4)	(5)	
No indication of current suicidal or homicidal thoughts or impulses, with no significant distress, and no history of suicidal or homicidal ideation. No indication or report of physically or sexually aggressive impulses. Developmentally appropriate ability to maintain physical safety and/or use environment for safety. Low risk for victimization, abuse, or neglect Other:  Rationale/Comments:	Past history of fleeting suicidal or homicidal thoughts with no current ideation, plan, or intention and no significant distress.  Mild suicidal ideation with no intent or conscious plan and with no past history.  Indication or report of occasional impulsivity, and/or some physically or sexually aggressive impulses with minimal consequences for self or others.  Substance use without significant endangerment of self or others.  Infrequent, brief lapses in the ability to care for self and/or use environment for safety.  Some risk for victimization, abuse, or neglect.  Other:	Significant current suicidal or homicidal ideation with some intent and plan, with the ability of the child or adolescent and his/her family to contract for safety and carry out a safety plan. Child or adolescent expresses some aversion to carrying out such behavior.  No active suicidal/homicidal ideation, but extreme distress and/or a history of suicidal/homicidal behavior.  Indication or report of episodic impulsivity, or physically or sexually aggressive impulses that are moderately endangering to self or others (e.g. status offenses, impulsive acts while intoxicated; self—mutilation; running away from home or facility with voluntary return; fire setting; violence toward animals; affiliation with dangerous peer group).  Binge or excessive use of alcohol or other drugs resulting in potentially harmful behaviors.  Episodic inability to care for self and/or maintain physical safety in developmentally appropriate ways.  Serious or extreme risk for victimization, abuse, or neglect.  Other:	• Current suicidal or homicidal ideation with either clear expressed intentions and/or past history of carrying out such behavior. Child or adolescent has expressed ambivalence about carrying out the safety plan and/or his/her family's ability to carry out the safety plan is compromised.  • Indication or report of significant impulsivity and/or physical or sexual aggression, with poor judgment and insight, that is/are significantly endangering to self or others (property destruction; repetitive fire setting or violence toward animals).  • Indication of consistent deficits in ability to care for self and/or use environment for safety.  • Recent pattern of excessive substance use resulting in clearly harmful behaviors with no demonstrated ability of child/adolescent or family to restrict use.  • Clear and persistent inability, given developmental abilities, to maintain physical safety and/or use environment for safety.  • Other:	• Current suicidal or homicidal behavior or such intentions with a plan and available means to carry out this behavior; without expressed ambivalence or significant barriers to doing so, or with a history of serious past attempts that are not of a chronic, impulsive, or consistent nature, or in presence of command hallucinations or delusions that threaten to override usual impulse control. • Indication or report of repeated behavior, including physical or sexual aggression, that is clearly injurious to self or others (e.g., fire setting with intent of serious property destruction or harm to others or self, planned violence and/or group violence with other perpetrators) with history, plan or intent, and no insight and judgment (forcible and violent, repetitive sexual acts against others), • Relentless engaging in acutely self endangering behaviors. • A pattern of nearly constant and uncontrolled use of alcohol or other drugs, resulting in behavior that is clearly endangering. • Other:	
Kationale/Comments:					

Child and Adolescer	nt Level of Care Utilizati	ion System (CALOCUS)	<b>Functional Rating Scales</b>	8/03
Child Name:		Child ID:		
	tional Status (Circle the nu		s the child's or adolescent's curr	rent level of functioning)
Minimal Impairment (1)	Mild Impairment (2)	Moderate Impairment (3)	Serious Impairment (4)	Severe Impairment (5)
• Consistent functioning appropriate to age and developmental level in school behavior and/or academic achievement, relationships with peers, adults, and family, and self care/hygiene/control of bodily functions. • No more than transient impairment in functioning following exposure to an identifiable stressor with consistent and normative vegetative status. • Other:	Evidence of minor deterioration, or episodic failure to achieve expected levels of functioning, in relationships with peers, adults, and/or family (e.g., defiance, provocative behavior, lying/cheating/not sharing, or avoidance/lack of follow through); school behavior and /or academic achievement (difficulty turning in homework, occasional attendance problems), or biologic functions (feeding or elimination problems) but with adequate functioning in at least some areas and/or ability to respond to redirection/intervention.      Sporadic episodes during which some aspects of self-care/hygiene/control of bodily functions are compromised.      Demonstrates significant improvement in function following a period of deterioration.      Other:	<ul> <li>Conflicted, withdrawn, or otherwise troubled in relationships with peers, adults, and/or family, but without episodes of physical aggression.</li> <li>Self-care/hygiene deteriorates below usual or expected standards on a frequent basis.</li> <li>Significant disturbances in vegetative activities, (such as sleeping, eating habits, activity level, or sexual interest), that do not pose a serious threat to health.</li> <li>School behavior has deteriorated to the point that in–school suspension has occurred and the child is at risk for placement in an alternative school or expulsion due to their disruptive behavior. Absenteeism may be frequent. The child is at risk for repeating their grade.</li> <li>Chronic and/or variably severe deficits in interpersonal relationships, ability to engage in socially constructive activities, and ability to maintain responsibilities.</li> <li>Recent gains and/or stabilization in functioning have been achieved while participating in treatment in a structured, protected, and/or enriched setting.</li> <li>Other:</li> </ul>	<ul> <li>Serious deterioration of interpersonal interactions with consistently conflictual or otherwise disrupted relations with others, which may include impulsive or abusive behaviors.</li> <li>Significant withdrawal and avoidance of almost all social interaction.</li> <li>Consistent failure to achieve self-care/ hygiene at levels appropriate to age and/ or developmental level.</li> <li>Serious disturbances in vegetative status such as weight change, disrupted sleep or fatigue, and feeding or elimination, which threaten physical functioning.</li> <li>Inability to perform adequately even in a specialized school setting due to disruptive or aggressive behavior. School attendance may be sporadic. The child or adolescent has multiple academic failures.</li> <li>Other:</li> </ul>	<ul> <li>Extreme deterioration in interactions with peers, adults, and/or family that may include chaotic communication or assaultive behaviors with little or no provocation, minimal control over impulses that may result in abusive behaviors.</li> <li>Complete withdrawal from all social interactions</li> <li>Complete neglect of, and inability to attend to self-care/hygiene/ control of biological functions with associated impairment in physical status.</li> <li>Extreme disruption in vegetative function causing serious compromise of health and well being.</li> <li>Nearly complete inability to maintain any appropriate school behavior and/or academic achievement given age and developmental level.</li> <li>Other:</li> </ul>
Tational Comments:				

Child and Adolescent Level of Care Utilization System (CALOCUS) Functional Rating Scales 8/03				
Child Name: Child ID:				
Dimension III. Co-occurring Conditions Medical, Substance Use, Developmental, and Psychiatric Comorbidity □				
No Co-morbidity	Minor Co-morbidity	Significant Co-morbidity	Major Co-morbidity	Severe Co-morbidity
(1)	(2)	(3)	(4)	(5)
• No evidence of medical illness, substance abuse, developmental disability, or psychiatric disturbances apart from the presenting problem. • Past medical, substance use, developmental, or psychiatric conditions are stable and pose no threat to the child or adolescent's current functioning or presenting problem. • Other:	<ul> <li>Minimal developmental delay or disorder is present that has no impact on the presenting problem and for which the child or adolescent has achieved satisfactory adaptation and/or compensation.</li> <li>Self-limited medical problems are present that are not immediately threatening or debilitating and have no impact on the presenting problem and are not affected by it.</li> <li>Occasional, self-limited episodes of substance use are present that show no pattern of escalation, with no indication of adverse effect on functioning or the presenting problem.</li> <li>Transient, occasional, stress-related psychiatric symptoms are present that have no discernible impact on the presenting problem.</li> <li>Other:</li> </ul>	<ul> <li>Developmental disability is present that may adversely affect the presenting problem, and/or may require significant augmentation or alteration of treatment for the presenting problem or comorbid condition, or adversely affects the presenting problem.</li> <li>Medical conditions are present requiring significant medical monitoring (e.g., diabetes or asthma).</li> <li>Medical conditions are present that may adversely affect, or be adversely affected by, the presenting problem.</li> <li>Substance abuse is present, with significant adverse effect on functioning and the presenting problem.</li> <li>Recent substance use that has significant impact on the presenting problem and that has been arrested due to use of a highly structured or protected setting or through other external means.</li> <li>Psychiatric signs and symptoms are present and persist in the absence of stress, are moderately debilitating, and adversely affect the presenting problem.</li> <li>Other:</li> </ul>	<ul> <li>Medical conditions are present or have a high likelihood of developing that may require intensive, although not constant, medical monitoring (e.g., insulin-dependent diabetes, hemophilia).</li> <li>Medical conditions are present that will adversely affect, or be affected by, the presenting disorder.</li> <li>Uncontrolled substance use is present that poses a serious threat to health if unabated and impedes recovery from the presenting problem.</li> <li>Developmental delay or disorder is present that will adversely affect the course, treatment, or outcome of the presenting disorder.</li> <li>Psychiatric symptoms are present that clearly impair functioning, persist in the absence of stressors, and seriously impair recovery from the presenting problem.</li> <li>Other:</li> </ul>	<ul> <li>Significant medical condition is present that is poorly controlled and/or potentially life threatening in the absence of close medical management (e.g., severe alcohol withdrawal, uncontrolled diabetes mellitus, complicated pregnancy, severe liver disease, debilitating cardiovascular disease).</li> <li>Medical condition acutely or chronically worsens or is worsened by the presenting problem.</li> <li>Substance dependence is present, with inability to control use, intense withdrawal symptoms and extreme negative impact on the presenting disorder.</li> <li>Developmental disorder is present that seriously complicates, or is seriously compromised by, the presenting disorder.</li> <li>Acute or severe psychiatric symptoms are present that seriously impair functioning, and/or prevent voluntary participation in treatment for the presenting problem, or otherwise prevent recovery from the presenting problem.</li> <li>Other:</li> </ul>

## Child and Adolescent Level of Care Utilization System (CALOCUS) Functional Rating Scales 8/03 Child ID: **Dimension IV. Recovery Environment: Environmental Stress Minimally Stressful** Mildly Stressful **Moderately Stressful** Highly Stressful **Extremely Stressful Environment** Environment Environment Environment Environment **(1) (2)** (3) **(4) (5)** • Absence of • Significant normative • Disruption of • Serious disruption of • Traumatic or significant or enduring family/social milieu (e.g., family or social milieu enduring and highly transition requiring difficulties in adjustment, such as move to significantly due to illness, death, disturbing change in household divorce, or separation of environment and life different living situation, circumstances, such members, or new school circumstances are absence or addition of parent and child or as 1) violence, stable. or teacher. parent or other primary care adolescent; severe sexual abuse or conflict; torment and/or taker, serious legal or illegal activity in the Absence of recent • Minor interpersonal loss physical/sexual abuse or school difficulties, serious home or community, transitions or losses of or conflict, such as peer drop in capacity of parent maltreatment. 2) the child or relationship ending due to consequence (e.g., no or usual primary care taker adolescent is witness change in school, change in residence or • Threat of severe to or a victim of a due to physical, psychiatric, residence, or marital school, or illness or death disruption in life substance abuse, or other natural disaster, 3) status of parents, or no of distant extended family circumstances, including problem with expectation of the sudden or birth/death of family member that has moderate threat of imminent return to previous unexpected death of effect on child and family. incarceration, lack of member). functioning). a loved one, 4) permanent residence, or • Material needs are • Transient but significant unexpected or • Interpersonal or material immersion in alien and met without significant illness or injury (e.g., unwanted loss that has significant hostile culture. cause for concern that pneumonia, broken bone) pregnancy. impact on child and family. they may diminish in • Inability to meet needs • Somewhat inadequate • Political or racial Serious illness or injury the near future, with no for physical and/or material resources or persecution. significant threats to for prolonged period, material well-being. threat of loss of resources immigration, social safety or health. unremitting pain, or other due to parental • Exposure to isolation, language disabling condition. • Living environment underemployment, endangering, criminal barriers, and/or activities in family and/or separation, or other factor. • Danger or threat in is conducive to illegal alien status. normative growth, neighborhood or neighborhood. Expectations for • Incarceration, development, and community, or sustained performance at home or Difficulty avoiding foster home recovery. harassment by peers or school that create substance use and its placement or reothers. • Role expectations are discomfort. effects. placement, normative and • Exposure to substance • Potential for exposure to • Other: inadequate congruent with child's abuse and its effects. substance use exists. residence, and/or or adolescent's age, • Role expectations that • Other: extreme poverty or capacities, and/or exceed child or adolescent's constant threat of developmental level. capacity given age, status, such. • Other: and developmental level. • Severe pain, • Other: injury, or disability, or imminent threat of death due to severe illness or injury. • Other:

**Rationale/Comments:** 

Child Name:		Child ID:		
Dimension IV. Recovery Environment: Environmental Support				
Highly Supportive Environment (1)	Supportive Environment (2)	Limited support in Environment (3)	Minimally Supportive Environment (4)	No Support in Environment (5)
• Family and ordinary community resources are adequate to address child's developmental and material needs. • Continuity of active, engaged primary care takers, with a warm, caring relationship with at least one primary care taker. • Other:	• Continuity of family or primary care takers is only occasionally disrupted, and/ or relationships with family or primary care takers are only occasionally inconsistent. • Family/primary care takers are willing and able to participate in treatment if requested to do so and have capacity to effect needed changes. • Special needs are addressed through successful involvement in systems of care (e.g., low level special education, tutoring, speech therapy). • Community resources are sufficient to address child's developmental and material needs. • Other:	• Family has limited ability to respond appropriately to child's developmental needs and/or problems, or is ambivalent toward meeting these needs or addressing these problems.  • Community resources only partially compensate for unmet material and emotional needs and/or child or adolescent has limited or inconsistent access to network.  • Family or primary care takers demonstrate only partial ability to make necessary changes during treatment.  • Other:	• Family or primary care taker is seriously limited in ability to provide for the child's developmental, material, and emotional needs. • Few community supports and/or serious limitations in access to sources of support so that material, health, and/or emotional needs are mostly unmet. • Family and other primary care takers display limited ability to participate in treatment and/or service plan (e.g., unwilling, inaccessible, cultural dissonance). • Other:	• Family and/or othe primary care takers a completely unable to meet the child's developmental, material, and/or emotional needs. • Community has deteriorated so that it is unsafe and/or hostito the needs of children and adolescents for education, recreation constructive peer relations, and mentoring from unrelated adults. • Lack of liaison and cooperation between child-servicing agencies. • Inability of family other primary care takers to make changes or participate in treatment. • Lack of even minimal attachment to benevolent other, or multiple attachments to abusive, violent, and/or threatening others. • Others:

Child and Adolescent Level of Care Utilization System (CALOCUS) Functional Rating Scales 8/03				
Child Name:		Child ID:		
Dimension V. Resil	liency			
Full Resiliency and/or Response to Treatment (1)	Significant Resiliency and/or Response to Treatment (2)	Moderate or Equivocal Resiliency and/or Response to Treatment (3)	Poor Resiliency and/or Response to Treatment (4)□	Negligible Resiliency and/or Response to Treatment (5)
<ul> <li>Child has demonstrated significant and consistent capacity to maintain development in the face of normal challenges, or to readily resume normal development following extraordinary challenges.</li> <li>Prior experience indicates that efforts in most types of treatment have been helpful in controlling the presenting problem in a relatively short period of time.</li> <li>There has been successful management of extended recovery with few and limited periods of relapse even in unstructured environments or without frequent treatment.</li> <li>Other:</li> </ul>	Child has demonstrated average ability to deal with stressors and maintain developmental progress.  Previous experience in treatment has been successful in controlling symptoms but more lengthy treatment is required.  Significant ability to manage recovery has been demonstrated for extended periods, but has required structured settings or ongoing care and/or peer support.  Recovery has been managed for short periods of time with limited support or structure.  Able to transition successfully and accept changes in routine with minimal support.  Other:	<ul> <li>Child has demonstrated an inconsistent or equivocal capacity to deal with stressors and maintain normal development.</li> <li>Previous experience in treatment at low level of intensity has not been successful in relief of symptoms or optimal control of symptoms.</li> <li>Recovery has been maintained for moderate periods of time, but only with strong professional or peer support or in structured settings.</li> <li>Has demonstrated limited ability to follow through with treatment recommendations.</li> <li>Developmental pressures and life changes have created temporary stress.</li> <li>Able to transition successfully and accept change in routine most of the time with a moderate intensity of support.</li> <li>Other:</li> </ul>	Child has demonstrated frequent evidence of innate vulnerability under stress and difficulty resuming progress toward expected developmental level.  Previous treatment has not achieved complete remission of symptoms or optimal control of symptoms even with intensive and/or repeated exposure to treatment.  Attempts to maintain whatever gains that can be attained in intensive treatment have limited success, even for limited time periods or in structured settings.  Developmental pressures and life changes have created episodes of turmoil or sustained distress.  Transitions with changes in routine are difficult even with a high degree of support.  Other:	Child has demonstrated significant and consistent evidence of innate vulnerability under stress, with lack of any resumption of progress toward expected developmental level.  Past response to treatment has been quite minimal, even when treated at high levels of care for extended periods of time.  Symptoms are persistent and functional ability shows no significant improvement despite this treatment exposure.  Developmental pressures and life changes have created sustained turmoil and/or developmental regression.  Unable to transition or accept changes in routine successfully despite intensive support.  Other:
Rationale/Comments:				

Child and Adolescent Level of Care Utilization System (CALOCUS) Functional Rating Scales 8/03				
Child Name:		Child ID:		
Dimension VI. Tre	atment, Acceptance and	d Engagement: Child or	Adolescent Acceptance	ce and
Engagement			•	
Optimal	Constructive	Obstructive	Adversarial	Inaccessible
(1)	(2)	(3)	(4)	(5)
<ul> <li>Quickly forms a trusting and respectful positive therapeutic relationship with clinicians and other care providers.</li> <li>Able to define problem(s) and accepts others' definition of the problem(s), and consequences.</li> <li>Accepts ageappropriate responsibility for behavior that causes and/or exacerbates primary problem.</li> <li>Actively participates in treatment planning and cooperates with treatment.</li> <li>Other:</li> </ul>	<ul> <li>Able to develop a trusting, positive relationship with clinicians and other care providers.</li> <li>Unable to define the problem, but accepts others' definition of the problem and its consequences.</li> <li>Accepts limited ageappropriate responsibility for behavior.</li> <li>Passively cooperates in treatment planning and treatment.</li> <li>Other:</li> </ul>	Ambivalent, avoidant, or distrustful relationship with clinicians and other care providers.  Acknowledges existence of problem, but resists accepting even limited ageappropriate responsibility for development, perpetuation, or consequences of the problem.  Minimizes or rationalizes problem behaviors and consequences.  Unable to accept others' definition of the problem and its consequences.  Frequently misses or is late for treatment appointments and/or is noncompliant with treatment, including medication and homework assignments.  Other:	Actively hostile relationship with clinicians and other care providers.  Accepts no age-appropriate responsibility role in development, perpetuation, or consequences of the problem.  Actively, frequently disrupts assessment and treatment.  Other:	Unable to form therapeutic working relationship with clinicians or other care providers due to severe withdrawal, psychosis, or other profound disturbance in relatedness.     Unaware of problem or its consequences.     Unable to communicate with clinician due to severe cognitive delay or speech/language impairment.     Other:
Rationale/Comments:				

Child and Adolescent Level of Care Utilization System (CALOCUS) Functional Rating Scales 8/03					
Child Name: Child ID: Child ID: Dimension VI. Treatment, Acceptance and Engagement: Parent and/or Surrogate Care Giver Acceptance and Engagement					
Optimal (1)	Constructive (2)	Obstructive (3)	Adversarial (4)□	Inaccessible (5)	
<ul> <li>Quickly and actively engages in a trusting and positive relationship with clinician and other service providers.</li> <li>Sensitive and aware of the child's or adolescent's needs and strengths as they pertain to the presenting problem.</li> <li>Sensitive and aware of the child's or adolescent's problems and how they can contribute to their child's recovery.</li> <li>Active and enthusiastic in participating in assessment and treatment.</li> <li>Other:</li> </ul>	Develops positive therapeutic relationships with clinicians and other primary care takers.     Explores the problem and accepts others' definition of the problem.     Works collaboratively with clinicians and other care takers in development of treatment plan.     Cooperates with treatment plan, with behavior change and good follow-through on interventions, including medications and homework assignments.     Other:	<ul> <li>Inconsistent and/or avoidant relationship with clinicians and other care providers.</li> <li>Defines problem, but has difficulty creating a shared definition of development, perpetuation, or consequences of the problem.</li> <li>Unable to collaborate in development of treatment plan.</li> <li>Unable to participate consistently in treatment, with inconsistent follow-through.</li> <li>Other</li> </ul>	Contentious and/or hostile relationship with clinician and other care providers.  Unable to reach shared definition of the development, perpetuation, or consequences of problem.  Able to accept child's or adolescent's need to change, but unable or unwilling to consider the need for any change in other family members.  Engages in behaviors that are inconsistent with the treatment plan.  Other:	No awareness of problem. Not physically available. Refuses to accept child's or adolescent's, or other family members' need to change. Unable to form relationship with clinician or other care provider due to significant cognitive difficulties, psychosis, intoxication, or major mental illness or impairment. Other	
Rationale/Comments:					